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FORM PTO-1390 (Modified) (REV 5-93) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER: 37945-0018

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/857691
INTERNATIONAL APPLICATION NO. **PCT/GB99/04129**	INTERNATIONAL FILING DATE **December 9, 1999**	PRIORITY DATE CLAIMED **December 10, 1998**

TITLE OF INVENTION

USE OF HUMAN PROSTRATE CELL LINES IN CANCER TREATMENT

APPLICANT(S) FOR DO/EO/US

Angus George DALGLEISH; Peter Michael SMITH; Andrew Derek SUTTON; Anthony Ian WALKER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.

2. __ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.

3. ___ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. X A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. X A copy of the International Application as filed (35 U.S.C. 371©(2))

   a. ___ is transmitted herewith (required only if not transmitted by the International Bureau).

   b. X has been transmitted by the International Bureau.

   c. ___ is not required, as the application was filed in the United States Receiving Office (RO/US)

6. __ A translation of the International Application into English (35 U.S.C. 371 ©(2)).

7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371©(3))

   a. ___ are transmitted herewith (required only if not transmitted by the International Bureau).

   b. ___ have been transmitted by the International Bureau.

   c. ___ have not been made; however, the time limit for making such amendments has NOT expired.

   d. X have not been made and will not be made.

8. ___ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371©(3)).

9. ___ An oath or declaration of the inventor(s) (35 U.S.C. 371©(4)).

10. __ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371©(5)).

Items 11. to 16. below concern other document(s) or information included:

11. __ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. __ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. X A FIRST preliminary amendment.
    __ A SECOND or SUBSEQUENT preliminary amendment.

14. ____ A substitute specification.

15. _____ A change of power of attorney and/or address letter.

16. X Other items or information:

    (1) Copy of International Preliminary Examination Report (Form PCT/IPEA/409) and Annexes

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/857691	PCT/GB99/04129	37945-0018

17. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5):
Neither international preliminary examination fee (CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....**$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....**$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....**$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....**$690.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....**$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =				$860.00	
Surcharge of $130.00 for furnishing the oath or declaration later than 20 ___ 30 ___ months from the earliest claimed priority date (37 CFR 1.492(e))					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	- 20		X $18.00		
Independent Claims	- 3		X $80.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $270.00		
TOTAL OF ABOVE CALCULATIONS =				$860.00	
☒ Applicants claim small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				$430.00	
SUBTOTAL =				$430.00	
Processing fee of $130.00 for furnishing English translation later the 20___ 30___ months from the earliest claimed priority date (37 CFR 1.492(f).			+		
TOTAL NATIONAL FEE =				$430.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +					
TOTAL FEES ENCLOSED =				$430.00	
				Amount to be: refunded	$
				charged:	$

a. ☒ A check in the amount of **$430.00** to cover the above fees is enclosed.

b. ___ Please charge my Deposit Account No. 08-1641 in the amount of $_____ to the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1641. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

HELLER EHRMAN WHITE & MCAULIFFE, LLP
1666 K Street, NW, Suite 300
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Tel: (202) 912-2000
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26633
PATENT TRADEMARK OFFICE

SIGNATURE

NAME: JOHN P. ISAACSON

REGISTRATION NUMBER: 33,715

DATE: JUNE 8, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT ($) 430

	Complete if Known
Application Number	To be assigned 09/857691
Filing Date	Concurrently herewith
First Named Inventor	Angus George DALGLEISH et al.
Examiner Name	To be assigned
Group / Art Unit	To be assigned
Attorney Docket No.	37945-0018

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 08-1641

Deposit Account Name: HELLER EHRMAN WHITE & MCAULIFFE

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) ($) 0

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below		Fee Paid
Total Claims		-	0	X		=	0
Independent Claims		-	0	X		=	0
Multiple Dependent				X		=	0

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive – unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) U.S. National Phase Fee 430 00

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) ($) 430

SUBMITTED BY

Complete *(if applicable)*

Name (Print/Type)	John P. Isaacson	Registration No. Attorney/Agent	33,715	Telephone	(202) 912-2000
Signature				Date	June 8, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No: 37945-0018

Applicant: Angus George DALGLEISH *et al.*

Appl. No.: To be assigned

Filing Date: Concurrently herewith

Title: USE OF HUMAN PROSTRATE CELL LINES IN CANCER TREATMENT

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, please enter the following amendments.

IN THE SPECIFICATION

Page 1, following the title, please insert the following:

-- This application is a 371 of PCT/GB99/04129 filed on December 9, 1999, which is hereby incorporated by reference. --

REMARKS

A first office action on the merits is awaited.

Respectfully submitted,

June 8, 2001
Date

John P. Isacson
Reg. No. 33,715

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New Cancer Treatments

Field of the Invention

This invention is concerned with agents for the treatment of primary, metastatic and residual cancer in mammals (including humans) by inducing the immune system of the mammal or human afflicted with cancer to mount an attack against the tumour lesion. In particular, the invention pertains to the use of whole-cells, derivatives and portions thereof with or without vaccine adjuvants and/or other accessory factors. More particularly, this disclosure describes the use of particular combinations of whole-cells and derivatives and portions thereof that form the basis of treatment strategy.

Background to the Invention

It is known in the field that cancerous cells contain numerous mutations, qualitative and quantitative, spatial and temporal, relative to their normal, non-cancerous counterparts and that at certain periods during tumour cells' growth and spread a proportion of these are capable of being recognised by the hosts' immune system as abnormal. This has led to numerous research efforts world-wide to develop immunotherapies that harness the power of the hosts' immune system and direct it to attack the cancerous cells, thereby eliminating such aberrant cells at least to a level that is not life-threatening (reviewed in Maraveyas, A. & Dalgleish, A.G. 1977 *Active immunotherapy for solid tumours in vaccine design* in The Role of Cytokine Networks, Ed. Gregoriadis *et al.*, Plenum Press, New York, pages 129-145; Morton, D.L. and Ravindranath, M.H. 1996 *Current concepts concerning melanoma vaccines* in Tumor immunology – Immunotherapy and Cancer Vaccines, ed. Dalgleish, A.G. and Browning, M., Cambridge University Press, pages 241-268. See also other papers in these publications for further detail).

Numerous approaches have been taken in the quest for cancer immunotherapies, and these can be classified under five categories:

Non-specific immunotherapy

Efforts to stimulate the immune system non-specifically date back over a century to the pioneering work of William Coley (Coley, W.B., 1894 Treatment of inoperable malignant tumours with toxins of erisipelas and the Bacillus prodigosus. Trans. Am. Surg. Assoc. 12: 183). Although successful in a limited number of cases (e.g. BCG for the treatment of urinary bladder cancer, IL-2 for the treatment of melanoma and renal cancer) it is widely acknowledged that non-specific immunomodulation is unlikely to prove sufficient to treat the majority of cancers. Whilst non-specific immune-stimulants may lead to a general enhanced state of immune responsiveness, they lack the targeting capability and also subtlety to deal with tumour lesions which have many mechanisms and plasticity to evade, resist and subvert immune-surveillance.

Antibodies and monoclonal antibodies

Passive immunotherapy in the form of antibodies, and particularly monoclonal antibodies, has been the subject of considerable research and development as anti-cancer agents. Originally hailed as the magic bullet because of their exquisite specificity, monoclonal antibodies have failed to live up to their expectation in the field of cancer immunotherapy for a number of reasons including immune responses to the antibodies themselves (thereby abrogating their activity) and

inability of the antibody to access the lesion through the blood vessels. To date, three products have been registered as pharmaceuticals for human use, namely *Panorex* (Glaxo-Wellcome), *Rituxan* (IDEC/Genentech/Hoffman la Roche) and *Herceptin* (Genentech/Hoffman la Roche) with over 50 other projects in the research and development pipeline. Antibodies may also be employed in active immunotherapy utilising anti-idiotype antibodies which appear to mimic (in an immunological sense) cancer antigens. Although elegant in concept, the utility of antibody-based approaches may ultimately prove limited by the phenomenon of 'immunological escape' where a subset of cancer cells in a mammalian or human subject mutates and loses the antigen recognised by the particular antibody and thereby can lead to the outgrowth of a population of cancer cells that are no longer treatable with that antibody.

Subunit vaccines

Drawing on the experience in vaccines for infectious diseases and other fields, many researchers have sought to identify antigens that are exclusively or preferentially associated with cancer cells, namely tumour specific antigens (TSA) or tumour associated antigens (TAA), and to use such antigens or fractions thereof as the basis for specific active immunotherapy.

There are numerous ways to identify proteins or peptides derived therefrom which fall into the category of TAA or TSA. For example, it is possible to utilise differential display techniques whereby RNA expression is compared between tumour tissue and adjacent normal tissue to identify RNAs which are exclusively or preferentially expressed in the lesion. Sequencing of the RNA has identified several TAA and TSA which are expressed in that specific tissue at that specific time, but therein lies the potential deficiency of the approach in that identification of the TAA or TSA represents only a "snapshot" of the lesion at any given time which may not provide an adequate reflection of the antigenic profile in the lesion over time. Similarly a combination of cytotoxic T lymphocyte (CTL) cloning and expression-cloning of cDNA from tumour tissue has lead to identification of many TAA and TSA, particularly in melanoma. The approach suffers from the same inherent weakness as differential display techniques in that identification of only one TAA or TSA may not provide an appropriate representation of a clinically relevant antigenic profile.

Over fifty such subunit vaccine approaches are in development for the treatment of a wide range of cancers, although none has yet received marketing authorisation for use as a human pharmaceutical product. In a similar manner to that described for antibody-based approaches above, subunit vaccines may also be limited by the phenomenon of immunological escape.

Gene therapy

The majority of gene therapy trials in human subjects have been in the area of cancer treatment, and of these a substantial proportion have been designed to trigger and/or amplify patients' immune responses. Of particular note in commercial development are Allovectin-7 and Leuvectin, being developed by Vical Inc for a range of human tumours, CN706 being developed by Calydon Inc for the treatment of prostate cancer, and StressGen Inc.'s stress protein gene therapy for melanoma and lung cancer. At the present time, it is too early to judge whether these and the many other 'immuno-gene therapies' in development by commercial and academic bodies will ultimately prove successful, but it is widely accepted that commercial utility of these approaches are likely to be more than a decade away.

Cell-based vaccines

Tumours have the remarkable ability to counteract the immune system in a variety of ways including: downregulation of the expression of potential target proteins; mutation of potential target proteins; downregulation of surface expression of receptors and other proteins; downregulation of MHC class I and II expression thereby disallowing direct presentation of TAA or TSA peptides; downregulation of co-stimulatory molecules leading to incomplete stimulation of T-cells leading to anergy; shedding of selective, non representative membrane portions to act as decoy to the immune system; shedding of selective membrane portions to anergise the immune system; secretion of inhibitory molecules; induction of T-cell death; and many other ways. What is clear is that the immunological heterogeneity and plasticity of tumours in the body will have to be matched to a degree by immunotherapeutic strategies which similarly embody heterogeneity. The use of whole cancer cells, or crude derivatives thereof, as cancer immunotherapies can be viewed as analogous to the use of whole inactivated or attenuated viruses as vaccines against viral disease. The potential advantages are:

(a) whole cells contain a broad range of antigens, providing an antigenic profile of sufficient heterogeneity to match that of the lesions as described above;

(b) being multivalent (i.e. containing multiple antigens), the risk of immunological escape is reduced (the probability of cancer cells 'losing' all of these antigens is remote); and

(c) cell-based vaccines include TSAs and TAAs that have yet to be identified as such; it is possible if not likely that currently unidentified antigens may be clinically more relevant than the relatively small number of TSAs/TAAs that are known.

Cell-based vaccines fall into two categories. The first, based on autologous cells, involves the removal of a biopsy from a patient, cultivating tumour cells *in vitro*, modifying the cells through transfection and/or other means, irradiating the cells to render them replication-incompetent and then injecting the cells back into the same patient as a vaccine. Although this approach enjoyed considerable attention over the past decade, it has been increasingly apparent that this individually-tailored therapy is inherently impractical for several reasons. The approach is time consuming (often the lead time for producing clinical doses of vaccine exceeds the patients' life expectancy), expensive and, as a 'bespoke' product, it is not possible to specify a standardised product (only the procedure, not the product, can be standardised and hence optimised and quality controlled). Furthermore, the tumour biopsy used to prepare the autologous vaccine will have certain growth characteristics, interactions and communication with surrounding tissue that makes it somewhat unique. This alludes to a potentially significant disadvantage to the use of autologous cells for immunotherapy: a biopsy which provides the initial cells represents an immunological snapshot of the tumour, in that environment, at that point in time, and this may be inadequate as an immunological representation over time for the purpose of a vaccine with sustained activity that can be given over the entire course of the disease.

The second type of cell-based vaccine and the subject of the current invention describes the use of allogeneic cells which are be genetically (and hence immunologically) mismatched to the patients. Allogeneic cells benefit from the same advantages of multivalency as autologous cells. In addition, as allogeneic cell

vaccines can be based on immortalised cell lines which can be cultivated indefinitely *in vitro,* thus this approach does not suffer the lead-time and cost disadvantages of autologous approaches. Similarly the allogeneic approach offers the opportunity to use combinations of cells types which may match the disease profile of an individual in terms of stage of the disease, the location of the lesion and potential resistance to other therapies.

There are numerous published reports of the utility of cell-based cancer vaccines (see, for example, Dranoff, G. *et al.* WO 93/06867; Gansbacher, P. WO 94/18995; Jaffee, E.M. *et al.* WO 97/24132; Mitchell, M.S. WO 90/03183; Morton, D.M. *et al.* WO 91/06866). These studies encompass a range of variations from the base procedure of using cancer cells as an immunotherapy antigen, to transfecting the cells to produce GM-CSF, IL-2, interferons or other immunologically-active molecules and the use of 'suicide' genes. Groups have used allogeneic cell lines that are HLA-matched or partially-matched to the patients' haplotype and also allogeneic cell lines that are mismatched to the patients' haplotype in the field of melanoma and also mismatched allogeneic prostate cell lines transfected with GM-CSF.

Description of the Invention

The invention disclosed here relates to a product comprised of a cell line or lines intended for use as an allogeneic immunotherapy agent for the treatment of cancer in mammals and humans.

All of the studies of cell-based cancer vaccines to date have one feature in common, namely the intention to use cells that contain at least some TSAs and/or TAAs that are shared with the antigens present in patients' tumour. In each case, tumour cells are utilised as the starting point on the premise that only tumour cells will contain TSAs or TAAs of relevance, and the tissue origins of the cells are matched to the tumour site in patients.

A primary aspect of the invention is the use of immortalised normal, non-malignant cells as the basis of an allogeneic cell cancer vaccine. Normal cells do not posses TSAs or relevant concentrations of TAAs and hence it is surprising that normal cells as described herein are effective as anti-cancer vaccines. The approach is general and can be adapted to any mammalian tumour by the use of immortalised normal cells derived from the same particular tissue as the tumour intended to be treated. Immortalised normal cells can be prepared by those skilled in the art using published methodologies, or they can be sourced from cell banks such as ATCC or ECACC, or they are available from several research groups in the field.

For prostate cancer, for example, a vaccine may be based on one or a combination of different immortalised normal cell lines derived from the prostate which can be prepared using methods reviewed and cited in Rhim, J.S. and Kung, H-F., 1997 Critical Reviews in Oncogenesis 8(4):305-328 or selected from PNT1A (ECACC Ref No: 95012614), PNT2 (ECACC Ref No: 95012613) or PZ-HPV-7 (ATCC Number: CRL-2221).

A further aspect of the invention is the addition of TSAs and/or TAAs by combining one or more immortalised normal cell line(s) with one, two or three different cell lines

derived from primary or metastatic cancer biopsies.

All the appropriate cell lines will show good growth in large scale cell culture and sufficient characterisation to allow for quality control and reproducible production.

The cell lines are lethally irradiated utilising gamma irradiation at 50-300 Gy to ensure that they are replication incompetent prior to use in the mammal or human.

The cell lines and combinations referenced above, to be useful as immunotherapy agents must be frozen to allow transportation and storage, therefore a further aspect of the invention is any combination of cells referenced above formulated with a cryoprotectant solution. Suitable cryoprotectant solutions may include but are not limited to, 10-30% v/v aqueous glycerol solution, 5-20% v/v dimethyl sulphoxide or 5-20% w/v human serum albumin may be used either as single cryoprotectants or in combination.

A further embodiment of the invention is the use of the cell line combinations with non-specific immune stimulants such as BCG or M. Vaccae, Tetanus toxoid, Diphtheria toxoid, Bordetella Pertussis, interleukin 2, interleukin 12, interleukin 4, interleukin 7, Complete Freund's Adjuvant, Incomplete Freund's Adjuvant or other non-specific agents known in the art. The advantage is that the general immune stimulants create a generally enhanced immune status whilst the combinations of cell lines, both add to the immune enhancement through their haplotype mismatch and target the immune response to a plethora of TAA and TSA as a result of the heterogeneity of their specific origins.

The invention will now be described with reference to the following examples, and the Figures in which:

Figure 1 shows T-cell proliferation data for patients 112, 307, and 406;

Figure 2 shows Western Blot analysis of serum from patients 115, 304 and 402;

Figure 3 shows antibody titres of serum from patients 112, 305 and 402;

Figure 4 shows PSA data for patients 110, 303 and 404; and

Figure 5 shows survival curves for C57 mice immunised with normal melanocytes.

Example 1

Growth, irradiation, formulation and storage of cells

An immortalised cell line derived from normal prostate tissue namely PNT2 was grown in roller bottle culture in RPMI 1640 medium supplemented with 2 mM L-glutamine and 5% foetal calf serum (FCS) following recovery from liquid nitrogen stocks. Following expansion in T175 static flasks the cells were seeded into roller bottles with a growth surface area of 850 cm^2 at 1-20 $x10^7$ cells per roller bottle

An immortalised cell line derived from primary prostate tissue namely NIH1542-CP3TX was grown in roller bottle culture in KSFM media supplemented with 25 μg/ml bovine pituitary extract, 5 ng/ml of epidermal growth factor, 2 mM L-glutamine, 10 mM HEPES buffer and 5% foetal calf serum (FCS) (hereinafter called "modified

KSFM") following recovery from liquid nitrogen stocks. Following expansion in T175 static flasks the cells were seeded into roller bottles with a growth surface area of 1,700 cm^2 at 2-5 $x10^7$ cells per roller bottle

Two secondary derived cell lines were also used, namely LnCap and Du145 both of which were sourced from ATCC. LnCap was grown in large surface area static flasks in RPMI media supplemented with 10% FCS and 2 mM L-glutamine following seeding at 1-10$x10^6$ cells per vessel and then grown to near confluence. Du-145 was expanded from frozen stocks in static flasks and then seeded into 850 cm^2 roller bottles at 1-20$x10^7$ cells per bottle and grown to confluence in DMEM medium containing 10% FCS and 2 mM L-glutamine. All cell lines were harvested utilising trypsin at 1x normal concentration. Following extensive washing in DMEM the cells were re-suspended at a concentration of 5-40$x10^6$ cells/ml and irradiated at 50-300 Gy using a Co^{60} source. Following irradiation the cells were formulated in cryopreservation solution composing of 10% DMSO, 8% human serum albumin in phosphate buffered saline, and frozen at a cell concentration of 5-150 $x10^6$ cells/ml, in liquid nitrogen until required for use.

Vaccination

Prostate cancer patients were selected on the basis of being refractory to hormone therapy with a serum PSA level of at least 30 ng/ml. Ethical permission and MCA (UK Medicines Control Agency) authorization were sought and obtained to conduct this trial.

One of three vaccination schedules was followed for each arm of the trial:

	Cell Lines Administered		
Dose	**Trial Arm A**	**Trial Arm B**	**Trial Arm C**
1,2 and 3	PNT2	Du145	LnCap
4 and subsequent	PNT2 / Du145/ NIH1542	PNT2 / Du145/ LnCap	PNT2 / NIH1542/ LnCap

The cells were warmed gently in a water bath at 37 °C and admixed with mycobacterial adjuvant prior to injection into patients. Injections were made intra-dermally at four injection sites into draining lymph node basins. The minimum interval between doses was two weeks, and most of the doses were given at intervals of four weeks. Prior to the first dose, and prior to some subsequent doses, the patients were tested for delayed-type hypersensitivity (DTH) against the four cell lines listed in the vaccination schedule above (all tests involved 0.8 x 10^6 cells with no adjuvant).

Analysis of Immunological Response

(a) T-Cell Proliferation Responses

To determine if vaccination resulted in a specific expansion of T-cell populations that recognised antigens derived from the vaccinating cell lines we performed a proliferation assay on T-cells following stimulation with lysates of the prostate cell

lines. Whole blood was extracted at each visit to the clinic and used in a BrdU (bromodeoxyuridine) based proliferation assay as described below:

Patient BrdU proliferation method

Reagents

RPMI		Life Technologies, Paisley Scotland.
BrdU		Sigma Chemical Co, Poole, Dorset.
PharMlyse	35221E	Pharmingen, Oxford UK
Cytofix/Cytoperm	2090KZ	"
Perm/Wash buffer (x10)	2091KZ	"
FITC Anti-BrdU/Dnase	340649	Becton Dickinson
PerCP Anti-CD3	347344	"
Pe Anti-CD4	30155X	Pharmingen
Pe Anti-CD8	30325X	"
FITC mu-IgG1	349041	Becton Dickinson
PerCP IgG1	349044	"
PE IgG1	340013	"

Method

1) Dilute 1 ml blood with 9 ml RPMI + 2mM L-gln +PS +50μM 2-Me. Do not add serum. Leave overnight at 37°C
2) On following morning, aliquot 450μl of diluted blood into wells of a 48-well plate and add 50μl of stimulator lysate. The lysate is made by freeze-thawing tumour cells ($2x10^6$ cell equivalents/ml) x3 in liquid nitrogen and then storing aliquots frozen until required.
3) Culture cells at 37°C for 5 days
4) On the evening of day 5 add 50μl BrdU @ 30μg/ml
5) Aliquot 100μl of each sample into a 96-well round-bottomed plate.
6) Spin plate and discard supernatant
7) Lyse red cells using 100μl *Pharmlyse* for 5minutes at room temperature
8) Wash x2 with 50μl of Cytofix
9) Spin and remove supernatant by flicking
10) Permeabilise with 100μl Perm wash for 10mins at RT
11) Add 30μl of antibody mix comprising antibodies at correct dilution made up to volume with Perm-wash
12) Incubate for 30 mins in the dark at room temperature.
13) Wash x1 and resuspend in 100μl 2% paraformaldehyde
14) Add this to 400μl FACSFlow in cluster tubes ready for analysis
15) Analyse on FACScan, storing 3000 gated CD3 events.

6-well plate for stimulation

	Nil	ConA	1542	LnCap	Du145	Pnt2
PBL 1						
PBL 2						
PBL 3						
PBL 4						
PBL 5						
PBL 6						

96-well plate for antibody staining

PBL 1		PBL 2		PBL 3		PBL 4		PBL 5		PBL 6	
Nil A	15 D	Nil A	15 D	Nil A	15 D	Nil A	15 D	Nil A	15 D	Nil A	15 D
Nil D	15 E	Nil D	15 E	Nil D	15 E	Nil D	15 E	Nil D	15 E	Nil D	15 E
Nil E	Ln D	Nil E	Ln D	Nil E	Ln D	Nil E	Ln D	Nil E	Ln D	Nil E	Ln D
Con D	Ln E	Con D	Ln E	Con D	Ln E	Con D	Ln E	Con D	Ln E	Con D	Ln E
Con E	Du D	Con E	Du D	Con E	Du D	Con E	Du D	Con E	Du D	Con E	Du D
	Du E		Du E		Du E		Du E		Du E		Du E
	Pn D		Pn D		Pn D		Pn D		Pn D		Pn D
	Pn E		Pn E		Pn E		Pn E		Pn E		Pn E

Legend:

A: IgG1-FITC (5μl) IgG1-PE (5μl) IgG1-PerCP (5μl)
15μlMoAb+15μl

D: BrdU-FITC (5μl) CD4-PE (5μl) CD3-PerCP (5μl)
15μlMoAb+15μl

E: BrdU-FITC (5μl) CD8-PE (5μl) CD3-PerCP (5μl)
15μlMoAb+15μl

15: NIH1542-CP3TX

Ln: LnCap

D: Du145

Pn: PNT2

Con: ConA lectin (positive control)

Nil: No stimulation

The results for the proliferation assays are shown in Figure 1 where a proliferation index for either CD4 or CD8 positive T-cells are plotted against the various cell lysates. The proliferation index being derived by dividing through the percentage of T-cells proliferating by the no-lysate control.

Results are shown for patient numbers 112, 307 and 406. Results are given for four cell lysates namely, NIH1542, LnCap, DU-145 and PNT-2. Overall, 50% of patients treated mount a specific proliferative response to at least one of the cell lines.

(b) Western Blots Utilising Patients' Serum

Standardised cell lysates were prepared for a number of prostate cell lines to enable similar quantities of protein to be loaded on a denaturing SDS PAGE gel for Western blot analysis. Each blot was loaded with molecular weight markers, and equal amounts of protein derived from cell lysates of NIH1542, LnCap, DU-145 and PNT-2. The blot was then probed with serum from patients derived from pre-vaccination and following 16 weeks vaccination (four to six doses).

Method

a) Sample Preparation (Prostate Tumor Lines)

- Wash cell pellets 3 times in PBS
- Re-suspend at 1 x 10^7 cells/ml of lysis buffer
- Pass through 5 cycles of rapid freeze thaw lysis in liquid nitrogen/water bath
- Centrifuge at 1500 rpm for 5 min to remove cell debris
- Ultracentrifuge at 20,000 rpm for 30 min to remove membrane contaminants
- Aliquot at 200 µl and stored at -80°C

b) Gel Electrophoresis

- Lysates mixed 1:1 with Laemelli sample buffer and boiled for 5 min
- 20 µg samples loaded into 4-20% gradient gel wells
- Gels run in Bjerrum and Schafer-Nielson transfer buffer (with SDS) at 200 V for 35 min.

c) Western Transfer

- Gels, nitrocellulose membranes and blotting paper equilibrated in transfer buffer for 15 min
- Arrange gel-nitrocellulose sandwich on anode of semi-dry electrophoretic transfer cell: 2 sheets of blotting paper, nitrocellulose membrane, gel, 2 sheets of blotting paper
- Apply cathode and run at 25 V for 90 min.

d) Immunological Detection of Proteins

- Block nitrocellulose membranes overnight at 4°C with 5% Marvel in PBS/0/05% Tween 20
- Rinse membranes twice in PBS/0.05% Tween 20, then wash for 20 min and 2 x 5 min at RT on a shaking platform
- Incubate membranes in 1:20 dilution of clarified patient plasma for 120 min at RT on a shaking platform
- Wash as above with an additional 5 min final wash
- Incubate membranes in 1:250 dilution of biotin anti-human IgG or IgM for 90 min at RT on a shaking platform
- Wash as above with an additional 5 min final wash
- Incubate membranes in 1:1000 dilution of streptavidin-horseradish peroxidase conjugate for 60 min at RT on a shaking platform
- Wash as above
- Incubate membranes in Diaminobenzidine peroxidase substrate for 5 min to allow colour development, stop reaction by rinsing membrane with water

The results in Figure 3 for patients 112, 305 and 402 clearly show that vaccination over the period of 16 weeks (four to six doses) can result in an increase in antibody titre against cell line lysates and also cross reactivity against lysates not received in this vaccination regime (other than DTH testing).

(c) Antibody Titre Determination

Antibody titres were determined by coating ELISA plates with standardised cell line lysates and performing dilution studies on serum from vaccinated patients.

Method for ELISA with anti-lysate IgG.

1. Coat plates with 50 μl/well lysates (@10μg/ml) using the following dilutions:-

Lysate	Protein conc	Coating conc	amount/ml	amount in 5mls μl
PNT2	2.5 mg/ml	10 μg/ml	3.89 μl	19.4 μl
1542	4.8 mg/ml	10 μg/ml	2.07 μl	10.3 μl
Du145	2.4 mg/ml	10 μg/ml	4.17 μl	20.8 μl
LnCap	2.4 mg/ml	10 μg/ml	4.12 μl	20.6 μl

2. Cover and incubate overnight @ 4°C
3. Wash x2 PBS-Tween. Pound plate on paper towels to dry.
4. Block with PBS/10%FCS (100μl/well)
5. Cover and incubate @ room temperature (RT) for 1hour (minimum).
6. Wash x2 PBS-Tween
7. Add 100μl PBS-10% FCS to rows 2-8
8. Add 200μl plasma sample (diluted 1 in 100 in PBS-10%FCS ie. 10μl plasma added to 990μls PBS- 10% FCS) to row 1 and do serial 100μl dilutions down the plate as below. Discard extra 100μl from bottom well. Cover and incubate in fridge overnight.
9. Dilute biotinylated antibody (Pharmingen; IgG 34162D) ie. final conc 1mg/ml (ie 20ml in 10mls).
10. Cover and incubate @RT for 45min.
11. Wash x 6 as above.
12. Dilute streptavidin –HRP (Pharmingen, 13047E 0; dilute 1:1000 (ie10ml ->10 mls).
13. Add 100ml/well.
14. Incubate 30 min @RT.
15. Wash x 8.
16. Add 100ml substrate / well. Allow to develop 10-80 min at RT.
17. Colour reaction stopped by adding 100ml 1M H_2SO_4.
18. Read OD @ A405nm.

The results in Figure 3 for patients 112, 305 and 402 show antibody titres at baseline (0), 4 weeks, 8 weeks and 16 weeks. The data show that after vaccination with at least four doses, patients can show an increase in antibody titre against cell line lysates and also cross-reactivity against cell lines not received in this vaccination regime (except as DTH doses).

(d) Evaluation of PSA Levels

PSA levels for patients receiving the vaccine were recorded at entry into the trial and throughout the course of vaccination, using routinely used clinical kits. The PSA values for patients 110, 303 and 404 are shown in Figure 4 (vertical axis is serum PSA in ng/ml; horizontal axis is time, with the first time point representing the initiation of the vaccination programme) and portray a drop or partial stabilisation of the PSA values, which in this group of patients normally continues to rise, often exponentially. The result for patient 110 is somewhat confounded by the radiotherapy treatment to alleviate bone pain, although the PSA level had dropped prior to radiotherapy.

Example 2: Use of a Normal Melanocyte in a Murine Melanoma Protection Model Model

A normal melanocyte cell line was used in a vaccination protection model of murine melanoma utilising the B16.F10 as the challenge dose. The C57 mice received two vaccinations of either PBS, $5x10^6$ irradiated K1735 allogeneic melanoma cells or $5x10^6$ irradiated Melan P1 autologous normal melanocyte cells on days -14 and -7. Challenge on day 0 was with 1×10^4 B16.F10 cells and tumour volume measured every three days from day 10 onwards. Animals were sacrificed when the tumour had grown to 1.5x1.5 cm measured across the maximum dimensions of the tumour. Figure 5 shows that vaccination with Melan1P cells offer some level of protection against this particularly aggressive murine tumour.

1. An allogeneic immunotherapeutic agent for the treatment of prostate cancer comprising three human prostate cell lines from three different sources, of which one, two or three cell lines are derived from normal tissue(s), wherein each said normal tissue(s) is (are) from a source which is a non-cancerous prostate.

2. An immunotherapeutic agent for the treatment of prostate cancer according to claim 1, comprising three human prostate cell lines of which one cell line is derived from normal tissue and the other two cell lines are derived from tumour tissues.

3. An immunotherapeutic agent for the treatment of prostate cancer according to claim 1, comprising three human prostate cell lines of which two cell lines are derived from normal tissue and the other cell line is derived from a tumour tissue.

4. An immunotherapeutic agent of claims 1, 2 and 3 where the lines derived from normal tissue are chosen from from PNT1A (ECACC Ref No: 95012614) or PNT2 (ECACC Ref No: 95012613)

5. An immunotherapeutic agent of claims 1, 2 and 3 where the line(s) derived from tumour tissue is/are chosen from NIH1519-CPTX, NIH1532-CP2TX, NIH1535-CP1TX, NIH1542-CP3TX, CA-HPV-10, LnCap, DU145 or PC3.

6. An immunotherapeutic agent for the treatment of prostate cancer comprising three cell lines, namely PNT2, NIH1542-CP3TX andDU145.

7. An immunotherapeutic agent for the treatment of prostate cancer comprising three cell lines, namely PNT2, NIH1542-CP3TX and LnCap.

8. An immunotherapeutic agent for the treatment of prostate cancer comprising three cell lines, namely PNT2, DU145 and LnCap.

9. An immunotherapeutic agent of claims 1-8 wherein the tumour cell lines have been irradiated at 50 to 300 Gy.

10. An immunotherapeutic agent of claims 1-8 wherein the tumour cell lines have been irradiated at 100 to 150 Gy.

11. An allogeneic immunogenic composition comprising an immunotherapeutic agent of claims 1-10 combined with a vaccine adjuvant selected from mycobacterial preparations such as BCG or M. Vaccae, Tetanus toxoid, Diphtheria toxoid, Bordetella Pertussis, interleukin 2, interleukin 12, interleukin 4, interleukin 7, Complete Freund's Adjuvant, Incomplete Freund's Adjuvant or other non-specific agents adjuvant.

12. An immunogenic composition comprising an immunotherapeutic agent of claims 1-10 combined with a vaccine adjuvant selected from mycobacterial preparations such as BCG or M. Vaccae.

13. An immunotherapeutic agent or composition of claims 1-12 wherein the cells are formulated with a cryoprotectant solution including but not limited to 10-30% v/v

aqueous glycerol solution, 5-20% v/v dimethyl sulphoxide or 5-20% w/v human serum albumin either as single cryoprotectants or in combination.

14. An immunotherapeutic agent or composition of claims 1-12 wherein the cells are formulated with a cryoprotectant solution including 5-20% v/v dimethyl sulphoxide and 5-20% w/v human serum albumin in combination.

15. An immunotherapeutic agent or composition of claims 1-14 that induces an immune response in patients characterised by activation of immune T-cells.

16. An immunotherapeutic agent or composition of claims 1-14 that induces an immune response in patients characterised by induction of antibody production.

17. An immunotherapeutic agent or composition of claims 1-14 that induces a decrease in the rate of rise or a decline in the level of serum PSA in prostate cancer patients.

18. An immunotherapeutic agent or composition according to claims 1 to 17 that is administered intradermally.

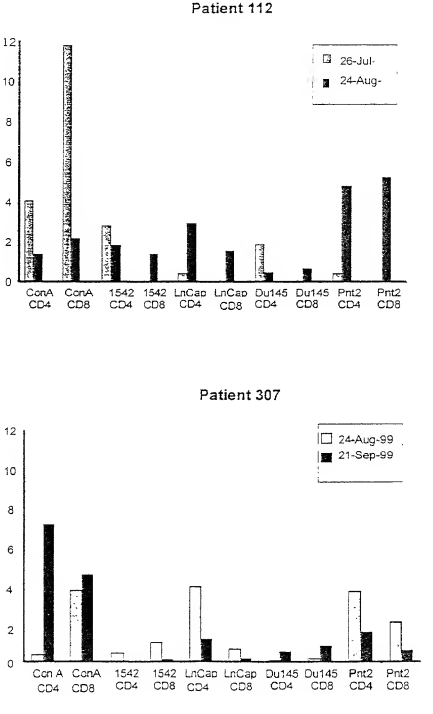
19. An immunotherapeutic agent or composition according to claims 1 to 17 that is administered intra-prostatically.

20. An allogeneic immunotherapeutic vaccine composition for the treatment of prostate cancer, which comprises or consists of an agent according to any preceding claim together with a physiologically acceptable excipient, adjuvant or carrier.

21. An allogeneic method of prophylaxis or treatment of prostate cancer, which includes administering to a patient an agent or composition according to any preceding claim in one or more doses in suitable dosage form.

22. Use of an agent according to any of claims 1 to 10 in the manufacture of a medicament for the allogeneic treatment of human prostate cancer.

Figure 1 T Cell proliferation Data for Patients 112, 307 and 406



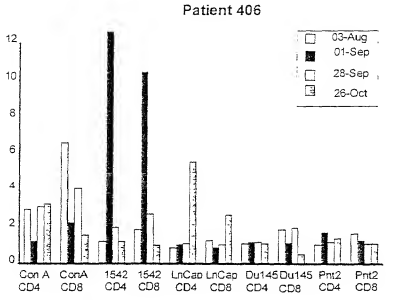
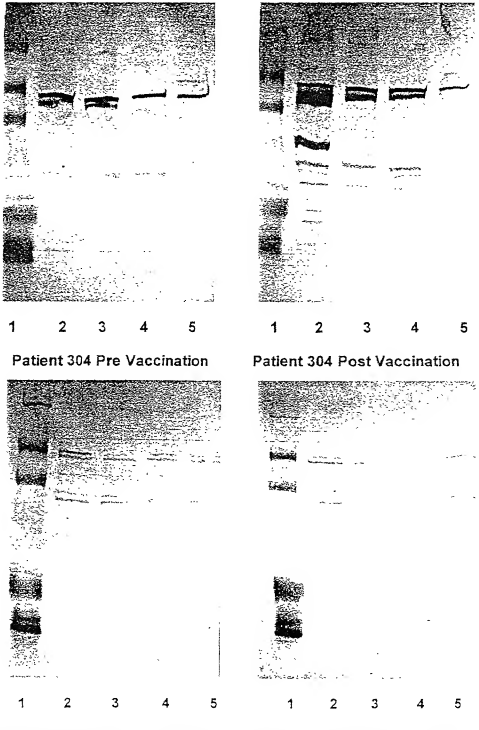
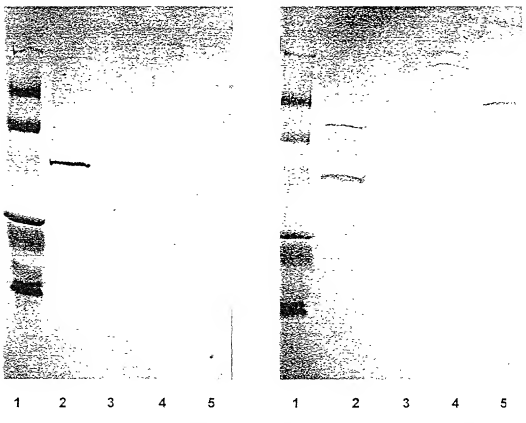


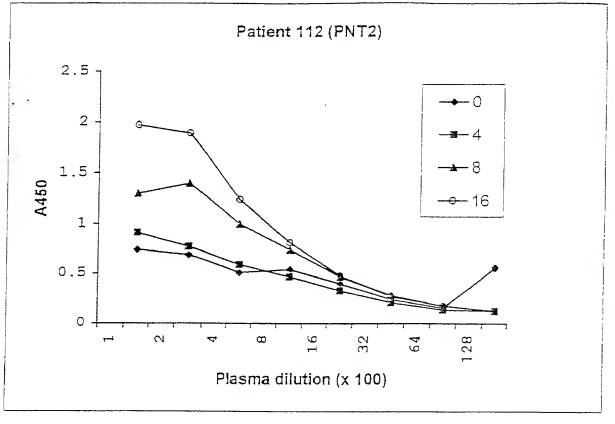
Figure 2 Western Blot Analysis of Serum From Patients 115, 304 and 402



1= Molecular weight markers, 2= PNT2 lysate, 3= 1542 lysate, 4=DU145 lysate, 5=LnCap lysate



1= Molecular weight markers, 2= PNT2 lysate, 3= 1542 lysate, 4=DU145 lysate, 5=LnCap lysate


Patient 112 (PNT2)
A450
2.5
2
1.5
1
0.5
0
0
4
8
16
1
2
4
8
16
32
64
128
Plasma dilution (x 100)

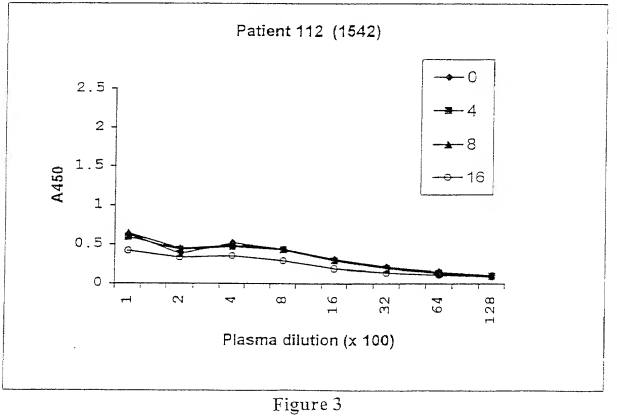
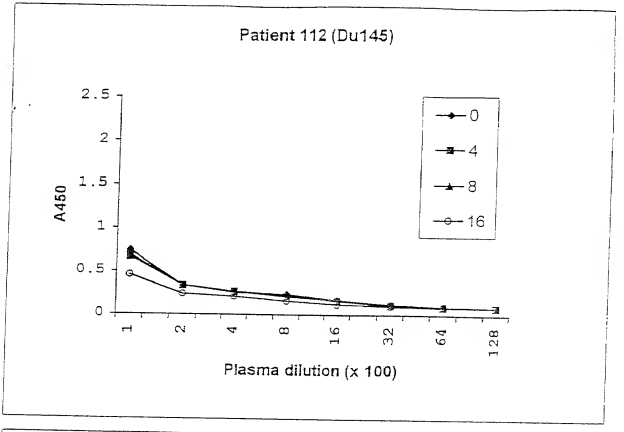

Patient 112 (1542)
A450
2.5
2
1.5
1
0.5
0
0
4
8
16
1
2
4
8
16
32
64
128
Plasma dilution (x 100)

Figure 3



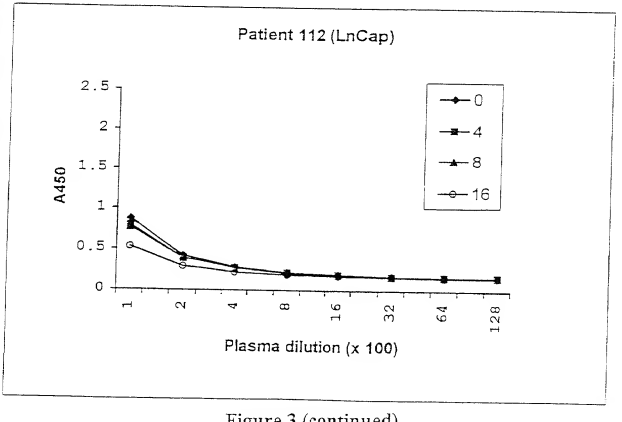
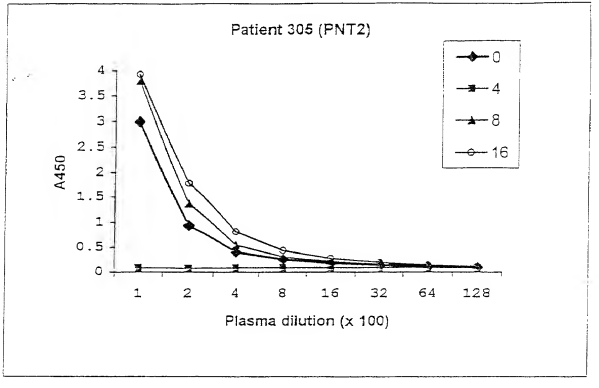


Figure 3 (continued)



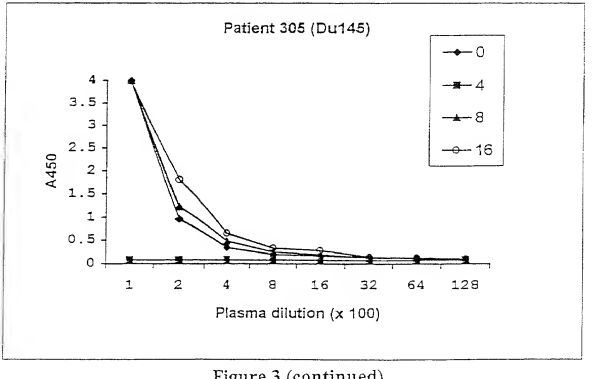
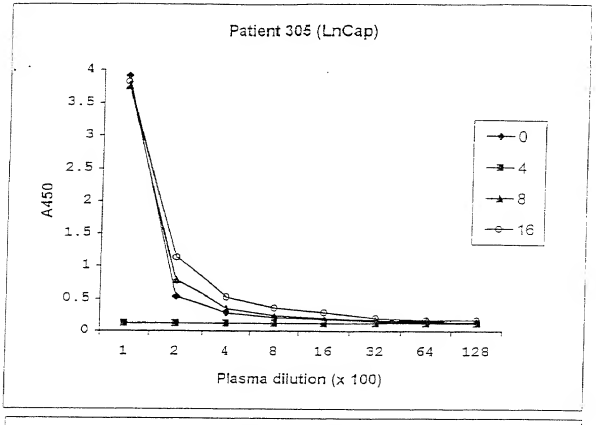


Figure 3 (continued)



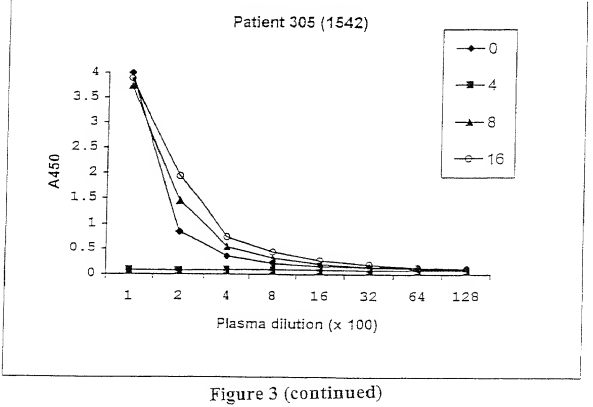
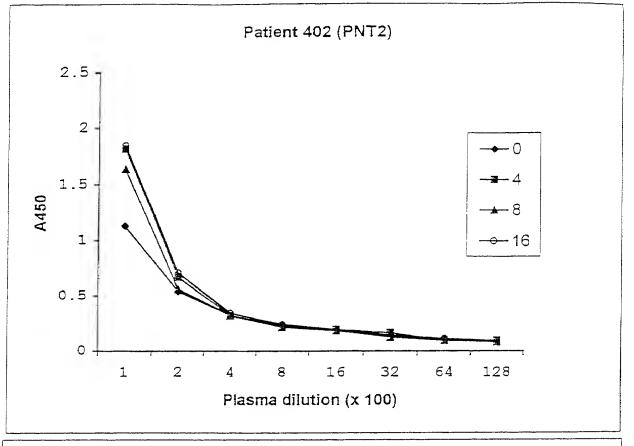


Figure 3 (continued)



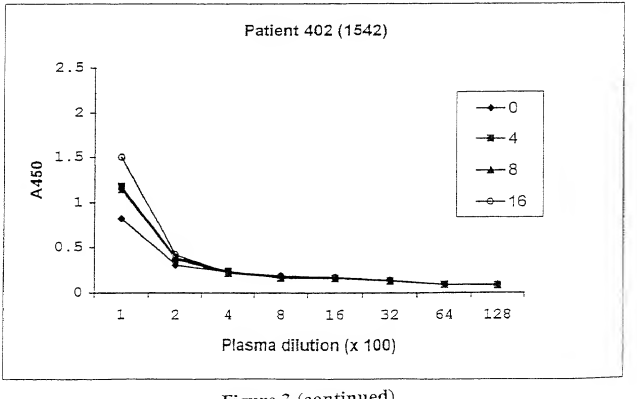
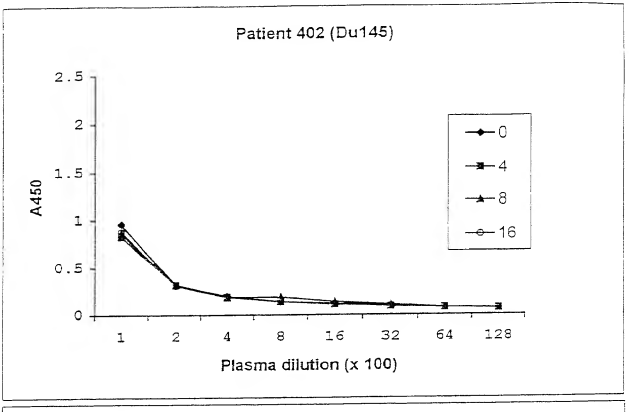


Figure 3 (continued)



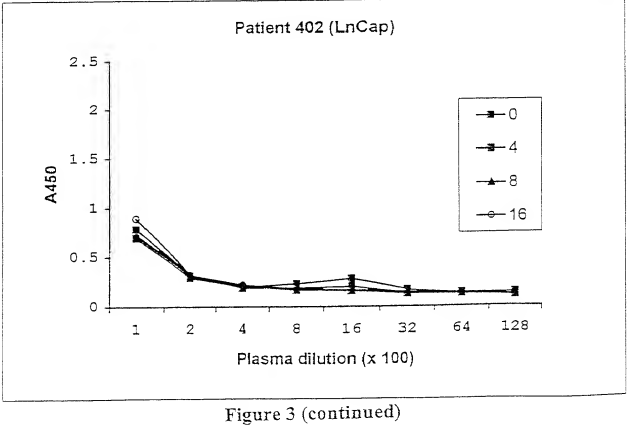
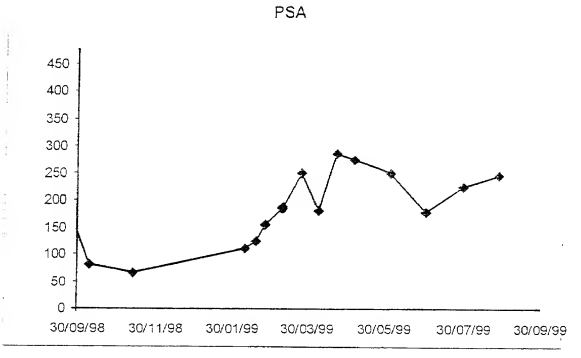


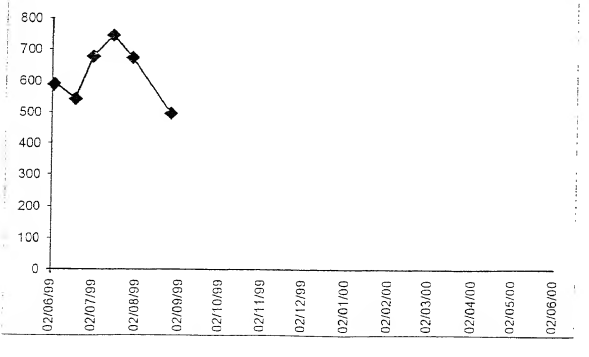
Figure 3 (continued)

Figure 4 PSA Data for Patients 110, 303 and 404

Patient 110



Patient 303



Patient 404

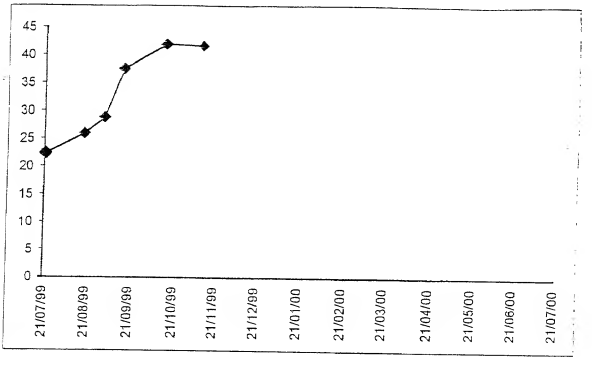
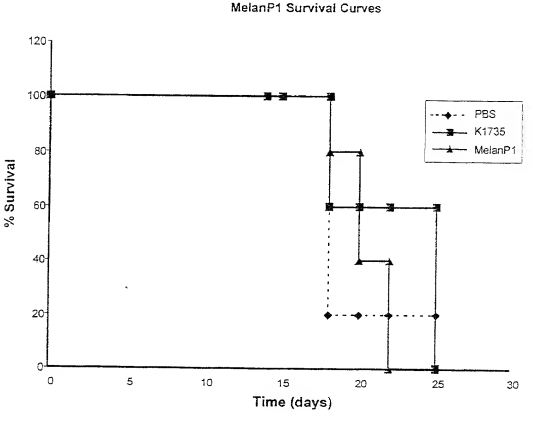


Figure 5 Survival Curves for C57 Mice Immunised With Normal Melanocytes



#F3

Attorney Docket No.: 37945-0018

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

USE OF HUMAN PROSTRATE CELL LINES IN CANCER TREATMENT

the specification of which (check one)

___ is attached hereto.

__X__ was filed on **December 9, 1999** as PCT International Application Number **PCT/GB99/04129** and amended on **November 16, 2000**

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1 56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of

any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
9827104.2	**Great Britain**	**December 10, 1998**	**Yes**	**No**

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the following registered attorneys and agents of the law firm of **Heller Ehrman White & McAuliffe** to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

PATRICIA D. GRANADOS	**Reg. No.**	**33,683**
JOHN P. ISACSON	**Reg. No.**	**33,715**
RONALD J. KAMIS	**Reg. No.**	**41,104**
MARVIN A. MOTSENBOCKER	**Reg. No.**	**36,614**
COLIN G. SANDERCOCK	**Reg. No.**	**31,298**
SUSAN E. SHAW MCBEE	**Reg. No.**	**39,294**

and I request that all correspondence be directed to:

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Telephone: (202) 912-2000
Facsimile: (202) 912-2020

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1-00

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Citizenship	British
Post Office Address	Onyvax Limited, St. Georges Hospital Medical School, **London SW17 0RE,** United Kingdom
Inventor's signature	
Date	1-8-1

2-00

Name of second inventor	**Peter Michael SMITH**
Residence	London, United Kingdom GBX
Citizenship	British
Post Office Address	Onyvax Limited, St. Georges Hospital Medical School, **London SW17 0RE,** United Kingdom
Inventor's signature	
Date	01/08/01

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